



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Feng Q. Liu, et al.

Serial No.: 10/608,404

Confirmation No.: 7966

Filed: June 26, 2003

For: Method and Composition
for Polishing a Substrate

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Group Art Unit: 1742

Examiner: Michael P. Alexander

MAIL STOP RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 CFR 1.10

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03/29/2006
Date

Brian K. Hrma
Brian K. Hrma

Dear Sir:

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The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

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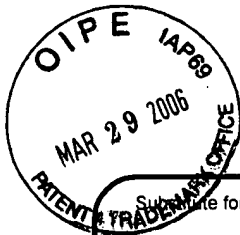
The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Brian K. Hrna", is written over a horizontal line.

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STATEMENT BY APPLICANT**

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Sheet 1 of 1

Complete if Known

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|------------------------|---------------------------------|
| Application Number | 10/608,404 |
| Filing Date | JUNE 26, 2003 |
| First Named Inventor | FENG Q. LIU, ET AL. |
| Art Unit | 1742 |
| Examiner Name | MICHAEL P. ALEXANDER |
| Attorney Docket Number | APPM/005699.P3(Y2)/PPC/CMP/CKIM |

U.S. PATENT DOCUMENTS

| Examiner Initials * | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| | | Number - Kind Code ² (if known) | | | |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials * | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
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| | | Country Code ³ - Number ⁴ - Kind Code ⁵ (if known) | | | | |
| | B1 | EP 1 410430 (English Translation) | | | | X |
| | B2 | WO 03/009361 | | | | |
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